

# AUSTAR

## Brief Introduction of OSD Process System

Your OSD Solution Partner

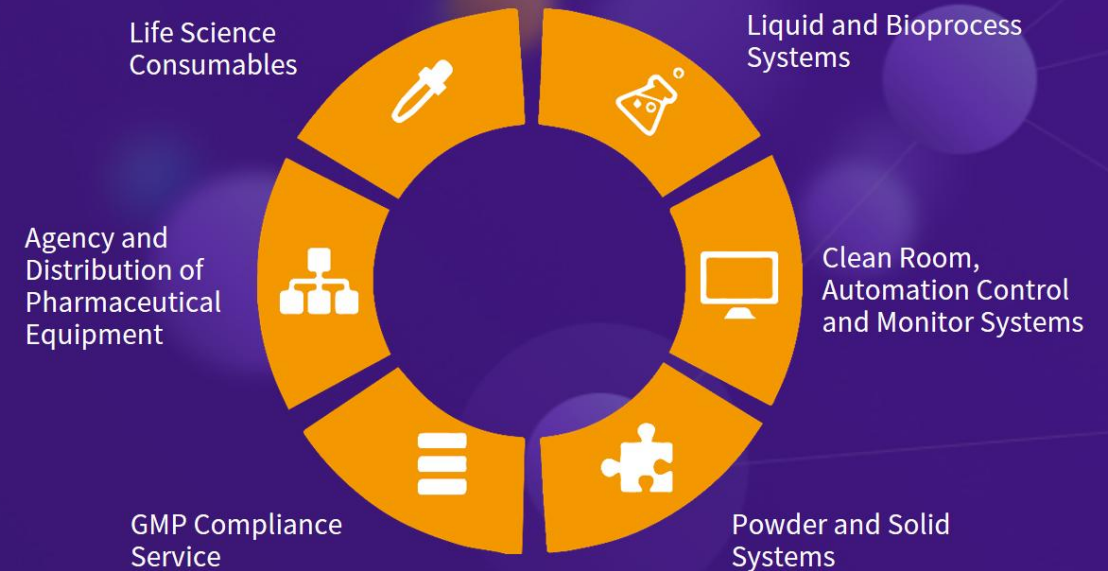


# Connecting Extraordinary Ideas

## Professional Pharmaceutical Solution Provider

AUSTAR Group is an advanced technology-based pharmaceutical engineering solution provider with comprehensive knowledge and experience in life-science process technology, applications, industry regulatory rules and practices. We take pride in being able to help clients address issues in quality, compliance and operation excellence, and dedicate to providing equipment-engineering-service-consumables turnkey solutions, so as to promote and to promoting industry advancement and create value.

AUSTAR Group was founded in 1991 in Hong Kong, the headquarters is in Beijing, PRC. The Company was listed on the Main Board of the Stock Exchange of Hong Kong Limited on 7 November, 2014 (Stock code: 6118).



# Business Milestones

30+ Years' Growth  
Dedicating to Providing  
Exceptional Values to  
Our Clients



**1991** Equipment and Engineering Agent

**1995** Project Agent and Subcontractor

**1998** Consumables and Equipment Distribution

**2000** Consumables Manufacturing

**2001** Engineering Project Management

**2004** Pharmaceutical Automation & Cleanroom Engineering  
Business Establishment

**2009** Process System Engineering &  
Compliance Business Establishment

**2012** Pharmaceutical Packaging  
Consumables Business Spin-off

**2014** Initial Public Offering

**2015** Group Management Reformation

**2019** Global Expansion &  
European Team Establishment

**2020** Group New Brand Image  
Establishment

**2021** CAPE Europe  
Establishment

# Manufacturing Center

AUSTAR has three production sites in Mainland China, which are located in Shanghai, Nanjing and Shijiazhuang.

Two high-tech and quality assembling workshops are located in Dresden, Germany (majority holding) and Huddersfield, UK.

## Huddersfield UK



- Containment Isolators
- Aseptic Isolators
- Filling Line Isolators
- DownFlow Booths

## Shijiazhuang Manufacturing Center



- Manufacture of Pressure Vessels
- Automatic Control Systems
- Powder and Solid Systems
- Liquid Process Systems
- Clean Room Systems

## Dresden Germany



- Water Pretreatment Units
- Purified Water Equipment
- Cold WFI Equipment
- PW & WFI Distribution

## Shanghai Manufacturing Center



- Purified Water Generator
- Pure Steam Generator
- Multiple Effect Water Distiller
- Bioreactor and Fermentor
- Various Process Skids Including Medium and Buffer Preparations
- Sanitary Vessels & Heat Exchangers

## Nanjing Manufacturing Center



- Freeze-drying System
- Freeze-drying Laboratory
- Filling Line



# Introduction/Bio - Ron Ciaglia

Qualifications – Director of OSD Engineering and Technology with AEG

- Experience – Over 30 years Engineering, Design, Technical Sales and Support, Installation, Start Up Commissioning, Validation, & Processing of OSD equipment for the Pharmaceutical, food, flavor, Industrial and chemical facilities.
- Education
  - Bachelors Science Aerospace Engineering
  - Degree Mathematics
  - Degree Engineering Administration
  - EIT/FE professional engineer, Member of ISPE, SAE, AIAA, continued training/education
- System Engineering, Retrofits/Upgrades Support/Service
  - **High Shear Granulators (Mixer)** ..... **Diosna, Glatt, Niro, Vector, Fluid Air**
  - **Fluid Bed Dyer/Granulation/Coating** ..... **Glatt, Niro, Vector, Ohara Aeromatic, GEA, Fluid Air**
  - **Coating Pans** ..... Thomas, Vector, Fryma, Glatt, O’Hara, Pelligrini
  - **Bead Making Systems / Pelletizing** ..... (Maruemerizers/Extruder) LCI
  - **Spray Drier** ..... Spraying System Fluid Air, Anhydro, SPX
  - **Milling** ..... **Fluid Air, Fitzmill, Co-mill**
- Design, Installed, Commissioned, Validated systems in more than 22 countries on 5 continents, worked in china since 1998
- **Over 30 years of combined solid dosage processing expertise Tested, Developed or Manufactured over 1000 products.**
- **Numerous countries, Class of products, manufacturing levels**



# Introduction of Senior Product Manager – Global OSD Projects

Mr. Amrit Tiwari has more than 17 years of rich experience in execution of OSD & sterile projects (Greenfield and Brownfield Projects), Business Development, Installation & Commissioning, Maintenance, Validation & Processing of OSD equipment in Pharmaceutical companies in different parts of world.

■ Past Companies & key equipment handled :

- ✓ High Shear Granulators (Mixer) Diosna, Glatt, GEA, ACG worldwide, Tapasya
- ✓ Fluid Bed, Wurster, Flexstream based Fluid Beds – Glatt, GEA, ACG Worldwide
- ✓ Coating Pans – Glatt, ACG worldwide, Sejong
- ✓ Tablet Press – GEA, Sejong
- ✓ Continuous Granulation – Glatt, GEA
- ✓ Sandoz India Ltd (Novartis Group)



# Topics

01

**What can we provide to the OSD Process System**

02

**Experience Sharing**

03

**Advanced Tech/Competitive Advantage of AEC**

04

**About PSS**

# Powder & Solid Process Systems

AUSTAR provides turnkey solutions for sophisticated production processes of pharmaceutical intermediates, API, OSD, and pharmaceutical excipients clients and offers a comprehensive service including formulation process technology, containment technology, innovative R&D, process design and project management.



## Process Engineering Solution

- Turnkey solution
- Process system
- Core engineering equipment
- Components/Consumables

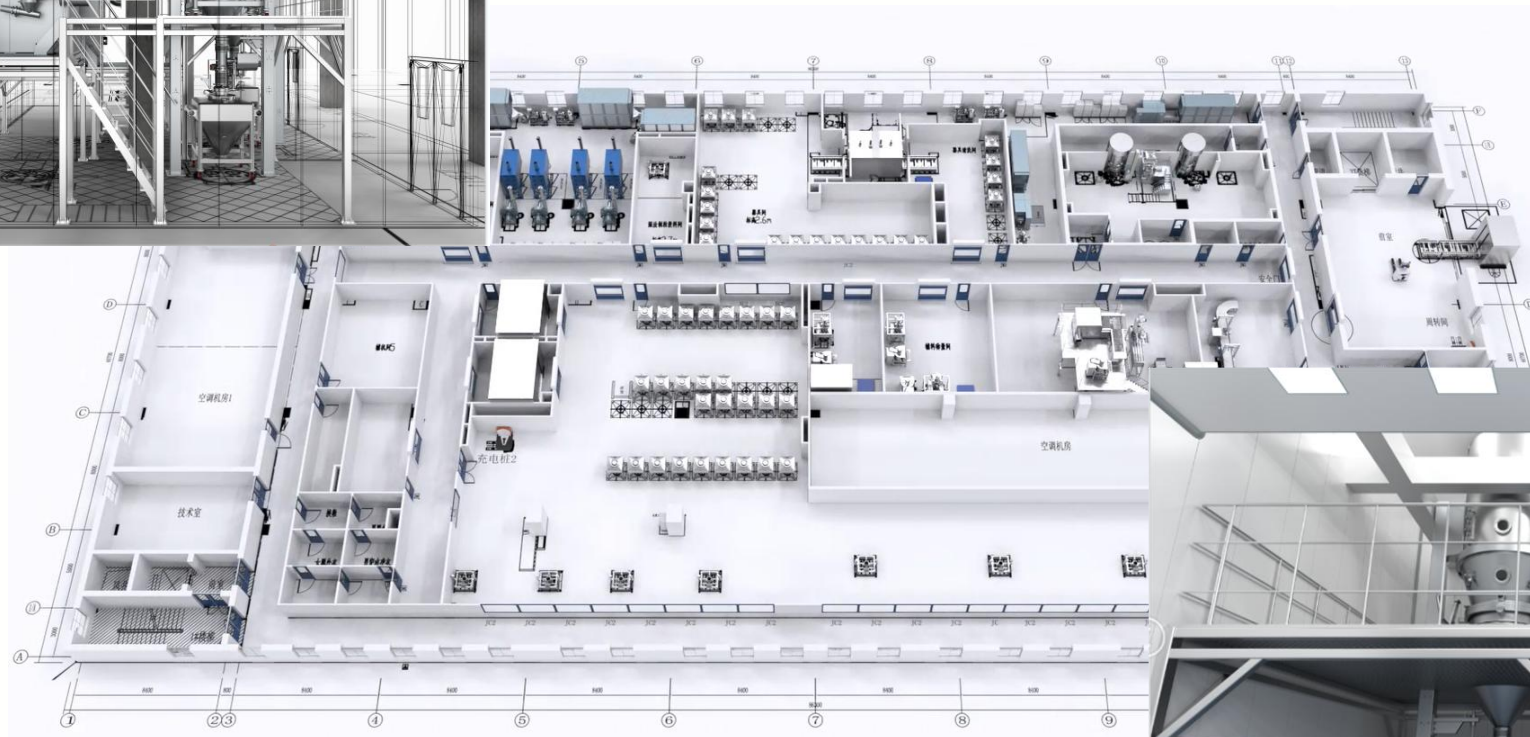
## Process Design & Consultation

- Feasibility study
- Conceptual design
- Basic design
- Detailed design

## Process Optimization Scale-up Research Services

- Process engineering laboratory
- Joint laboratory with partners
- Formulation technology experts
- Cooperation with universities

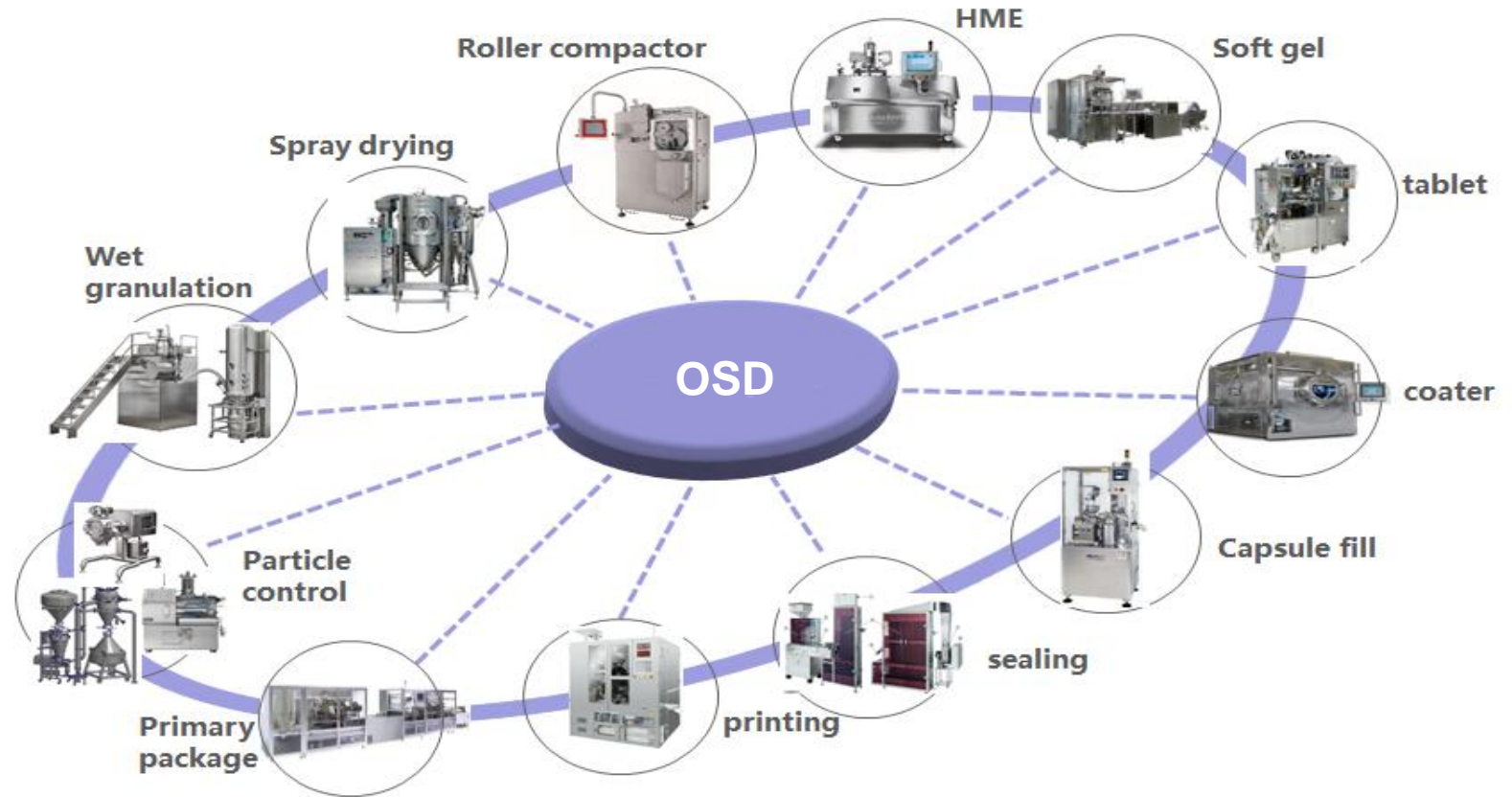
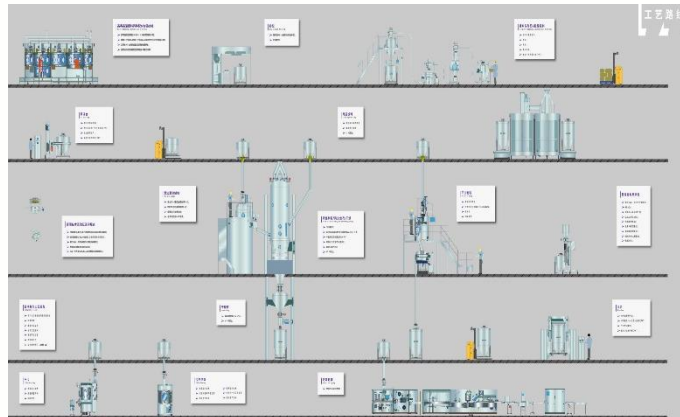
# OSD Process Systematic Solution



# OSD Process Systematic Solution

OSD project—High quality resource integration ability

- Mainly focus on Formulation technology
- Lab scale machine from world leading technology providers
- Solid oral dosage engineering team with rich experience
- Various partners provide professional engineering support



# OSD Formulation/Lab Equipment/Process Service



Hammer Mill



Jet Mill



Impact Mill



Extruder



Roller Compactor



High Shear Mixer



Fluid bed



Tablet Coater



Micro NIR PAT-U



Eyecon<sub>2</sub> Real-time particle analyzer

## Scope of services

### Development and optimization of formulation process

- Process development and optimization
- Determination of CQA, CMA and CPP
- DOE (Design of experiment)
- Development of online PAT
- Determination of design space

### Analysis and inspection

- NIR modeling and application
- Eyecon online particle size inspection
- Soft capsules (viscosity, thickness inspection)
- Inspection and analysis of moisture, flowability, density and particle size distribution

### Process transfer, scale-up and optimization

- Data analysis
- Determination of process parameters
- Determination of design space
- Control strategy



### Scope of process services:

- Particle size control process (wet milling, jet milling and mechanical milling)
- Granulating processes (high shear wet granulating, dry granulating, one-step granulating, melt granulating, continuous wet granulating, etc.)
- Fluid bed coating process (pellets coating, granules coating, mini-tablet coating)
- Hot melt extrusion, softgel encapsulating, spray drying, tableting, capsule filling and tablets coating processes
- Continuous wet granulating, continuous dry granulating, continuous direct compression process route development and optimization, modeling
- PAT application and modeling

# Process Research Center – Technical Team

AMSTAR



Almost ten years' experience in formulation process development and process scale-up technology transfer; Familiar with OSD technology and DOE (Design of Experiment); Rich experience in process development, process and PAT modeling.



13 years' experience in solid preparation production technology management in large scale pharmaceutical enterprise; 7 years' experience in solid preparation project planning and execution in pharmaceutical enterprise; Research direction: solid preparation process scheme procedural design and layout optimization, schematic design and implementation of digital solid preparation production system, small test, pilot test and commercial batch scale-up study of wet granulating process and fluid bed granulating process (chemical drug and TCM), and unique study of highly viscous and hygroscopic formulations



11 years' experience in R&D and production in large scale pharmaceutical factory; Familiar with pharmaceutical process, equipment, GMP certification and relevant regulations; 5 years' experience in installation & commissioning of imported equipment and engineering project execution and management; Rich experience in organic combination of equipment and processes.



Focusing on application technology study for wet granulating; Familiar with pharmaceutical process, equipment and OSD R&D and technology transfer; 3 years' experience in MNC, and 10 years' experience in drug R&D.



Subject matter expert on solid preparations continuous manufacturing; Over 10 years' experience in pharmaceutical production and quality management; Focusing on technical application study for solid preparations continuous process; Familiar with PAT application in solid preparation field; Familiar with relevant GMP and FDA regulations.



Focusing on wet milling process and equipment research; Familiar with pharmaceutical process/equipment, relevant policies and regulations; Over 10 years' experience in solid preparations production equipment management; Familiar with solid preparation production process and relevant equipment.



Specializing in solid preparations R&D and industrial transfer; Rich experience in solid preparations R&D; Over 3 years' experience in solid preparations R&D and scale-up study.



Focusing on dry granulating process and equipment research; Familiar with pharmaceutical process/equipment, relevant policies and regulations; Rich experience in OSD production and equipment management; Over 5 years' experience in solid preparations production equipment management.



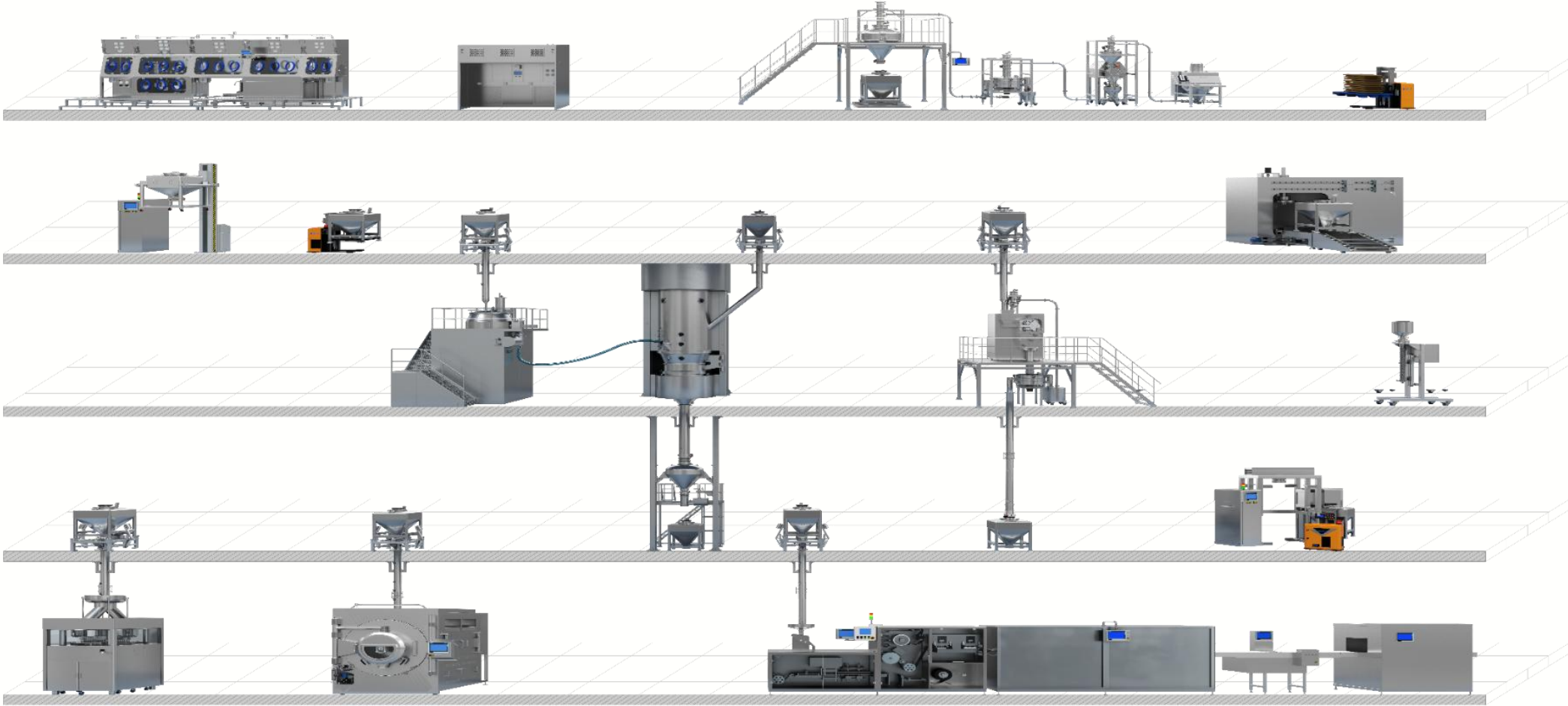
Over 13 years' experience in soft capsules production and maintenance; Specializing in installation, maintenance and commissioning of softgel encapsulating machine; Unique understanding of soft capsule production process and process optimization; Proficient in dry granulator technology.

# Process Research Center – Customers

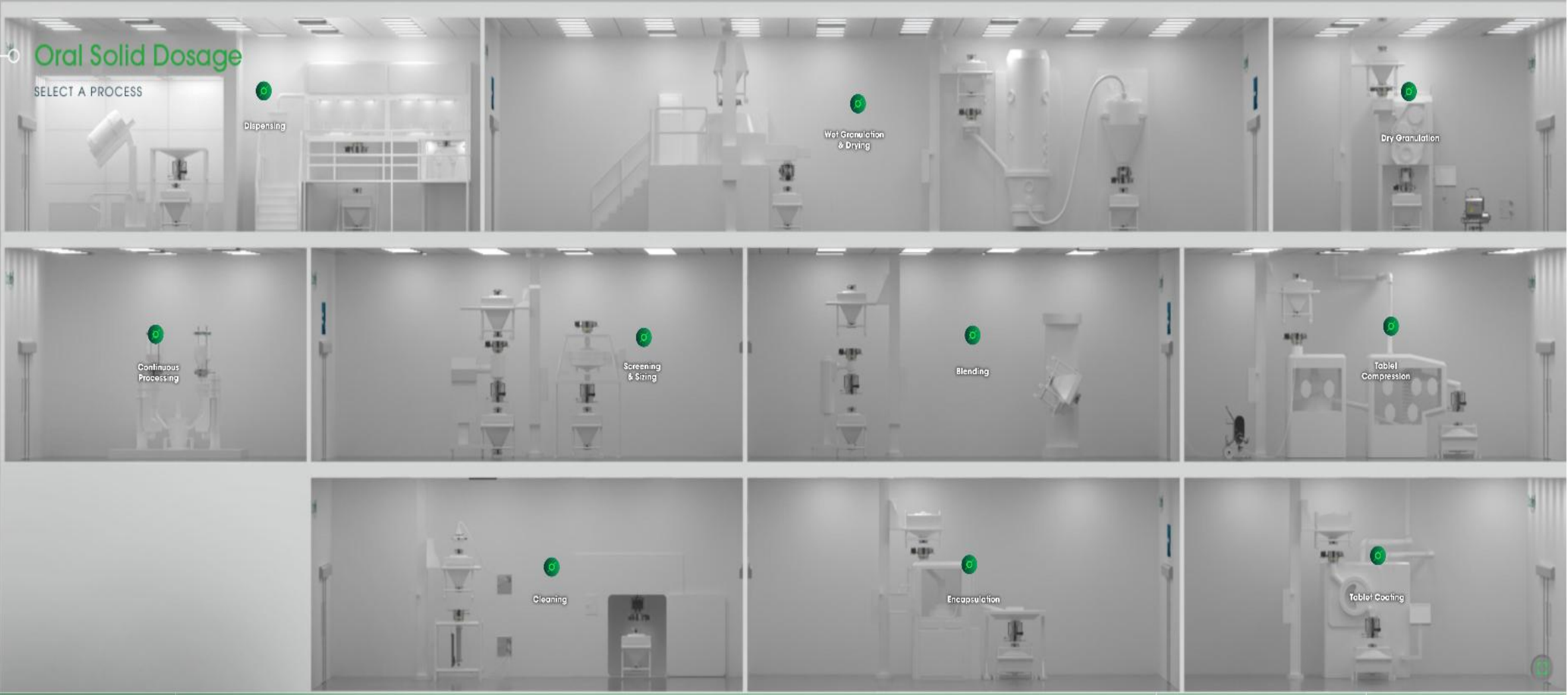
AMSTAR



# OSD Process System / Commercial Solution



# OSD CT Solution



## High containment secondary pharmaceutical manufacturing

Common interface from dispensing through to compression / filling

Key: Passive Active

### Dispensing

- Weight Isolation available for integration with weighing systems.



### Manual or Automatic Versions

- Even larger PharmaSafe valves can be operated manually.
- Maintain simple and easy handling processes.



### Powder Dispensing

- Higher containment performance systems can be utilised prior to ingredient dilution without need for different Passive designs.



### Washing In Place (WIP)

- WIP Passive devices can be installed in the upright or inverted orientation
- Washing media can be inserted via the WIP Passive device or from the process



### Safe IBC Blending / Mixing

- Passive valve safely interlock
- Additional Passive cover provides assurance
- Pressure rated versions available



### Repeatable docking accuracy

- PowerDock - automated raise and lower docking corrects lateral misalignment to ensure repeatable performance
- Assisted manual raise and lower docking versions available



### Trouble free docking with hoist systems

- Smooth integration with hoist systems can be assured with the use of a compensator device to accommodate and absorb the forces generated during docking processes.



### Effective IBC Washing

- Wash Active valve can be integrated into IBC wash stations
- Specifically designed to optimise results.
- This valve can be used in high temperature and ATEX rated environments.



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# Experience on Oral Solid Dosage Forms



Top Biosimilar Companies With Approved & Pipeline Products In The US & EU

AMGEN	APOTEX	Biocon	Biogen	Boehringer Ingelheim
CELLTRION	Coherus	PRESENUS KABI	FUJIFILM IRYOWA KIRIN	Grünbeck Richter
INTAS	mabion	MERCK	mundipharma	Mylan
Pfizer	SAMSUNG BIDEPIS	SANDOZ	STADA Arzneimittel	teva



# Experience on Oral Solid Dosage

Program	Commercial rights	Indication	Zai Lab clinical stage	Partnerships
ZL-2306 (Niraparib)	China, HK and Macau	Ovarian cancer Breast cancer Lung cancer	CTA approved for Phase 3 CTA approved for Phase 3 (protocol currently under discussion with CFDA) CTA approved for Phase 2 (protocol currently under discussion with CFDA)	TESARO
ZL-2401 (Omadacycline)	China, HK, Macau and Taiwan	ABSSSI CABP	Preparing for CTA Submission for Phase 3 Preparing for CTA Submission for Phase 3	PARATEK
ZL-2301	China, HK and Macau	HCC	Phase 2	Bristol-Myers Squibb
ZL-3101 (Fugan)	Global	Eczema, Psoriasis	Phase 2	gsk
ZL-2302	Global	NSCLC	CTA submitted for Phase 1	SANOFI
ZL-1101	Global	GVHD, SLE	Pre-clinical	ucb

## Clear Specialty Focus

Inflammation	Nephrology	Bone	Oncology	Cardiovascular
 <b>Enbrel</b> etanercept	 <b>EPOGEN</b> (EPOETIN ALFA) RECOMBINANT	 <b>prolia</b> (denosumab) injection	 <b>XGEVA</b> (denosumab)	 <b>Ivabradine</b>
<b>Brodalumab</b>	 <b>Sensipar</b> (cinacalcet) Tablets 30mg-60mg-90mg	<b>Romozosumab</b>	 <b>Vectibix</b> (panitumumab) injection for IV infusion	<b>Evolocumab</b>
 <b>AMGEN</b> BIOSIMILARS <b>Adalimumab</b> Infiximab	 <b>Mimpara</b> cinacalcet		 <b>Kyprolis</b> (carfilzomib) for Injection	<b>Omecamtiv mecarbil</b>
	 <b>Aranesp</b> (darbeoetin alfa)		 <b>Nexavar</b> (sorafenib tosylate) tablets	<b>Aranesp</b> (darbeoetin alfa)
	<b>AMG 416</b>		 <b>Neulasta</b> (pegfilgrastim)	<b>NEUPOGEN</b> (FILGRASTIM)
			<b>Talimogene laherparepvec</b>	<b>AMGEN</b> BIOSIMILARS <b>Rituximab</b> <b>Bevacizumab</b> <b>Trastuzumab</b> <b>Cetuximab</b>
			<b>Blinatumomab</b>	
			<b>Trebananib</b>	

**Actavis** + **Forest Laboratories, Inc.**

CNS	GI	Women's Health	URO
 <b>Namenda XR</b> (memantine HCl) extended-release capsules 7 mg, 14 mg, 28 mg, 35 mg	 <b>DELZICOL</b> (mesalazine) delayed-release capsules 400 mg	 <b>Asacol HD</b> (mesalazine) delayed-release tablets	 <b>RAPAFLO</b> (sildenafil) capsules
 <b>Viibryd</b> (vilazodone HCl)	 <b>Linzess</b> (linaclotide) capsules	 <b>Minestrin 24 Fe</b> (norethindrone acetate and ethinyl estradiol) chewable tablets and ferrous fumarate tablets 1 mg/20 mg	 <b>Lo Loestrin Fe</b> (norethindrone acetate and ethinyl estradiol) chewable tablets and ferrous fumarate tablets 1 mg/20 mg
 <b>Fetzima</b> (levomilnacipran) extended-release capsules 20 mg, 40 mg, 80 mg, 120 mg	 <b>Carafate</b> (sucralfate) suspension	 <b>Generes Fe</b> (norethindrone and ethinyl estradiol) chewable tablets and ferrous fumarate tablets 0.8 mg/25 mg	 <b>Enblex</b> (darifenacin)
 <b>Saphris</b> (esarepirin) sublingual tablets 5 and 10 mg	 <b>Canasa</b> (mesalamine) CAPSULES	 <b>Crimone</b> progesterone gel 8%	 <b>Gelnique</b> (polybutynin chloride) Gel 10%
 <b>Lexapro</b> (escitalopram)	 <b>PYLERA</b> (bismuth subsalicylate, potassium metabisulfite, tetracycline HCl)	 <b>Actonel</b> (risedronate sodium) tablets	 <b>ANDRODERM</b> (testosterone transdermal system)
	 <b>Rectiv</b> (nitroglycerin) ointment 0.4%	 <b>Atelvia</b> 35 mg (esomeprazole sodium delayed-release tablets)	 <b>AndroGel</b> (testosterone gel) 1% GEL
		 <b>ESTRACE CREAM</b> (estradiol vaginal cream) 0.01%	 <b>TRELSTAR</b> (tripterone pamoate for injectable suspension)



SWOT Analysis

No.	Variety	Product name	Dosage forms	Process
1	Antibiotics	Cephalosporins sustained release tablets	Tablets	wet granulation
2		Azithromycin	Tablets	High shear wet granulation
3		Nimesulide	Tablets	High shear wet granulation
4		Amoxicillin capsules	Hard capsule	NIR modeling for moisture determination
5		Ceftriaxone sodium	Injections	Filtering-washing-drying process
6	Digestive system	Omeprazole magnesium/amoxicillin/Rifambutin compound capsules	Hard capsule	Fluid bed coating (mini-tablet coating)
7		Omeprazole Enteric Capsules	Hard capsule	Fluid bed coating (pellet coating)
8		Changyanning dry ointment	Tablets	Viscous materials transfer and treatment
9		Simethicone Chewable Tablets	Tablets	Hot melt extrusion process(melt granulating)
10		Esomeprazole Magnesium Enteric-coated tablets	Tablets	Fluid bed coating process (pellet coating)
11	Psychotropics	Aripiprazole Tablets	Tablets	Top spray granulating
12		Venlafaxine Hydrochloride Sustained-Release Capsules	Hard capsule	Fluid bed coating process
13		Agomelatine tablets	Tablets	Wet granulating process
14		TL118 (anti-tumor drug)	Tablets	High shear wet granulation process
15			Tablets	



No.	Variety	Product name	Dosage forms	Process
21	Anticancer Drugs	Sorafenib tosylate Tablets	Tablets	High shear wet granulation process
22	Antiviral drug	Ritonavir	Tablets	Hot melt extrusion process
23	Antihypertensive drugs	11	Tablets	Hot melt extrusion process
24	Blood system	Heparin	API	Filtering-washing-drying process
25	Blood system	Avatrombopag Maleate Tablets	Tablets	Jet milling process
26	Medications for treating Alzheimer's disease	Memantine Hydrochloride Sustained-release Capsules	Hard capsules	Fluid bed coating process (pellet coating)
27	Anti-cold medicine	HuoXiangZhengQiRuanJiaoNang	Soft capsules	Softgel encapsulating process
28	Cardiovascular system	Metoprolol sustained-release tablet	Tablets	Fluid bed coating process (pellet coating)
29	Anti-atherosclerotic drugs	Polyunsaturated fatty acids	N/A	High shear wet granulation process
30	Jianpi Shengxue drugs (Chinese Patent Medicine)	Jianpi Shengxue Pian	Tablets	Top-spray granulating process
31	Vitamins	Vitamin D Soft Capsules	Soft capsules	Softgel encapsulating process
32		Calcium Carbonate and Vitamin D3	Tablets	NIR mix uniformity



No.	Variety	Product name	Dosage forms	Process
41	Others	Cigarette filtering granules	N/A	High shear wet granulation process
42		API granules	N/A	High shear wet granulation process
43		Tamarind cake	N/A	Lab type wet granulating line
44		Hyaluronic acid	N/A	High shear wet granulation process
45		Aluminum powders	N/A	Dry granulating line
46		Saccharose	N/A	High shear wet granulation process
47		ADN-9	N/A	Hot melt extrusion process
48		HP537	N/A	Hot melt extrusion process
49		Hyaluronic acid granular drink	Granules	High shear wet granulation process
50		Hyaluronic acid granular drink	Granules	Top spray granulating process
51		D0077	N/A	Jet milling process
52		WD-1602	Granules	Melt granulating process
53		WD-2010	Granules	Melt granulating process
54		WD-2102	Granules	Melt granulating



# Experience on Oral Solid Dosage

## Forms

### Americas Central, South, North :

- Abbvie
- Astra Zeneca – \*\*\* (150L, 300L, 500L)  
Bottom discharge
- Actavias
- Frontida
- Genzyme
- Alkermes
- Boehringer Ingelheim
- Teva
- Hoffman La Roche
- Pfizer
- Bristol Myers Squibb
- Forest
- Endo
- Ortho McNeil
- Merck \*\*\*  
Bottom discharge
- Reckitt Benckiser
- Mallinckrodt \*\*\*  
Bottom discharge
- Covidien
- Avon
- Kimberly-Clark
- Upsher-Smith
- Perrigo
- Biogen/Idc
- Watson
- Abbvie
- Amgen
- Glaxo Smith Kline
- Estee Lauder
- Astra Zeneca
- Gilead
- Bayer
- Pharmaceutical Int.
- Alza
- Amgen
- Eisai
- Biogen/IDEC
- TEVA
- Perrigo
- Catalent
- Biogen
- Neogen
- Sanofi
- Pfizer
- Westward
- Wyeth
- Abbott
- Takeda
- SUN
- Chao center – Purdue
- Novell
- Sperics
- Norwich
- Execlixis

# Experience on Oral Solid Dosage Forms

## Europe:

- Forest Ireland
- Reckitt Benckiser
- Actavias - Ireland
- Abbott UK
- Nestle Switzerland
- Bristol Myers Squibb Ireland

## Latin America:

- Merck Brazil \*\*\*  
Bottom discharge
- Schering Plough \_Brazil \*\*\*  
Bottom discharge
- Christalia Brazil
- Pfizer Argentina

## Middle East:

- Advanced Pharma  
Jordan
- Hickma – Isreal

## Africa:

- Xechem Nigeria

## Canada:

- Lonza
- Apotex \*\*\*  
Bottom discharge
- Biovail
- Tetragenics
- Pharmasource

## China/Asia:

- Janssen
- Pfizer - \*\*\* (2- 800L)  
Bottom discharge
- Avon
- Asymchem
- Apptec
- Hisun
- Pen-Tsao
- ZaiLab – coater  
ready
- Shenzhen Zhijun
- Pharmaron
- Hengreui
- Zailab

# Experience on Oral Solid Dosage

## Forms

### Customers with common need – An engineered Solution to a Process Services Provided

- Feasibility
- R&D
  - Formulation Development
  - Process Development/Optimization
  - **Scale Up**
  - Tech Transfer
- Contract Manufacturing
  - Clinical Supplies
  - Commercial Production

### Built to Meet Today's Processing Challenges

- Ability to Handle Many Types of Products:
  - Aqueous Based 水溶性
  - OTC Oncology, Controlled substance, etc
  - Solvent Based (No Chlorinated 氯 Solvents)
  - Controlled Substances
    - License for Schedule II-V
    - Listed as a manufacturer of Diazepam 安定, Codeine 可卡因 (II and III), Phenobarbital 苯巴比妥 (III and IV), Morphine 吗啡, Amphetamine 苯丙胺, Hydrocodone 氢可酮, and Methyl Phenylate 哌醋甲酯.

# Experience on Oral Solid Dosage

## Forms

### Our Range of Batch Sizes / Scalability

-- Feasibility & Development

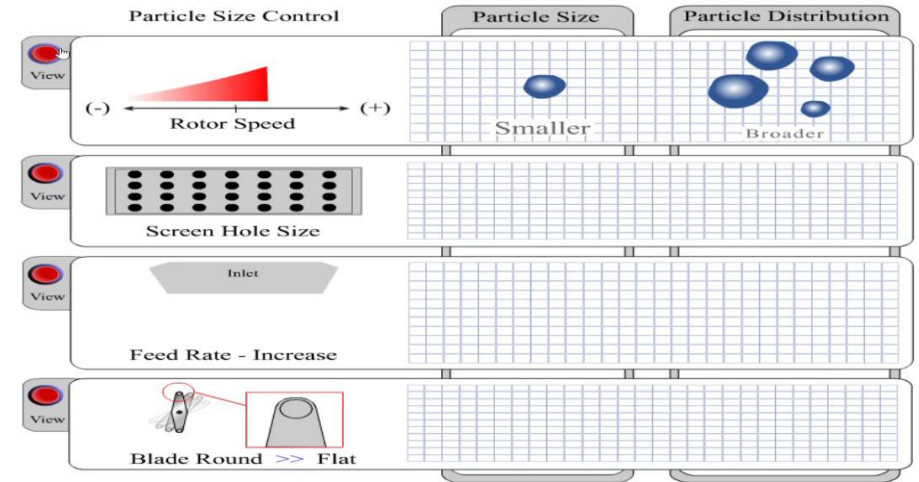
➤ Bench Top – ½ to 2 Kg Batches

-- Clinical, Stability & Tech Transfer (GMP)

➤ Pilot Suite – 25 to 75 Kg Batches

AMSTAR

### Lab Coating, Granulation & Milling



# Experience on Oral Solid Dosage

## Forms Pilot Coating, Granulation & Milling

Feasibility & Development

➤ Bench Top – ½ to 2 Kg Batches

Clinical, Stability & Tech Transfer

➤ Pilot Suite – 25 to 75 Kg Batches

Production (GMP)

➤ 300 to 1,500 Kg Batches and larger



# Experience on Oral Solid Dosage

## Forms

*Equipment Features Provide Flexibility to Handle the Most Difficult Products...*

AUSTAR

- For Drying/Granulating
  - Multiple Air Distribution Plate Types
  - Solvent Handling
  - Spray and Liquid Delivery Systems
  - Desiccant Dehumidification
- For Coating
  - Coating Zone/Holding Zone Air Flow Control
  - Multi-Partition System
  - Solvent Handling
  - Spray and Liquid Delivery Systems

## Unique Production Process Control Capabilities

- Process Control Systems incorporate:
  - Process Analytical Technologies (PAT)
  - Flexible Automated Process Recipes
  - Metrology
  - Fully Electronic Part 11 Records with Signatures
  - Integration of Multiple Equipment Processing Control Centers with BAS
  - Wireless Technologies

## Advantages of AUSTAR AS YOUR PARTNER

- We are focused on you!
  - Your success is our success!
  - Our primary focus is the Pharmaceutical Market .
    - We're easily accessible:
      - Factories around the world with global support
- Advanced Processing Features and Techniques *not offered* by Competitors!
- Superior and Immediate Service!

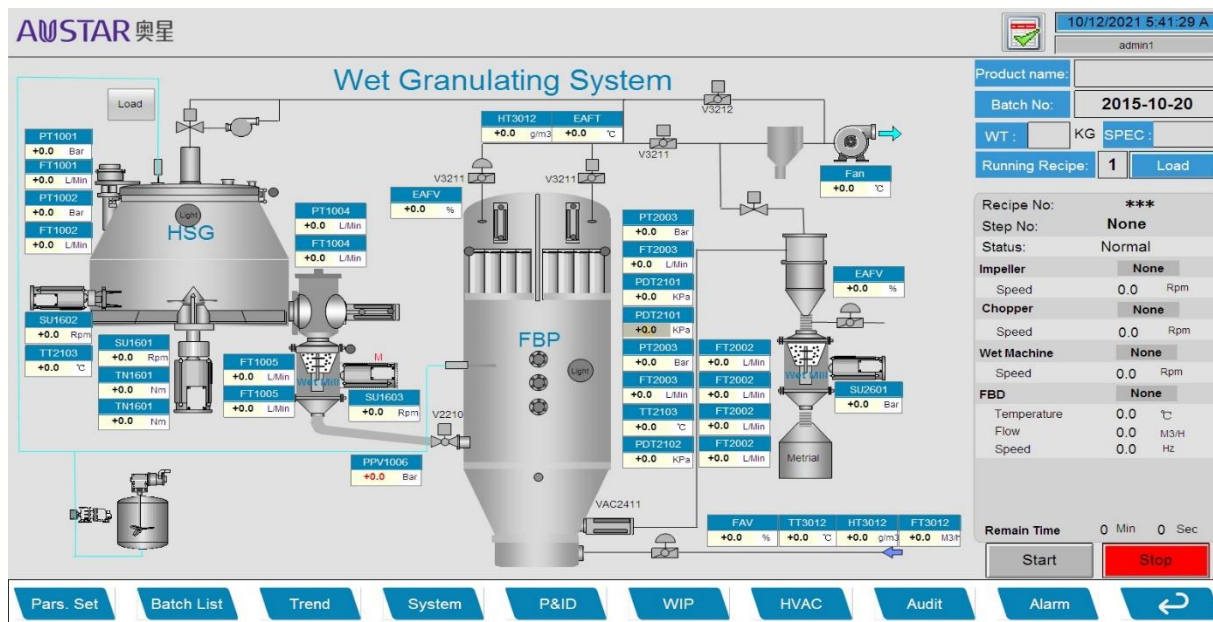
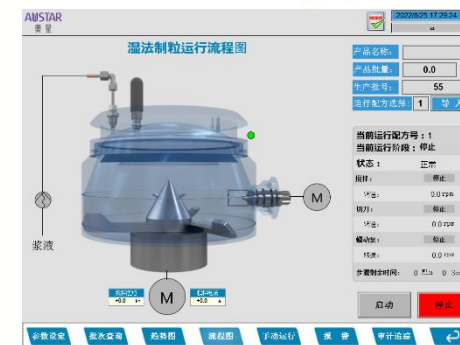
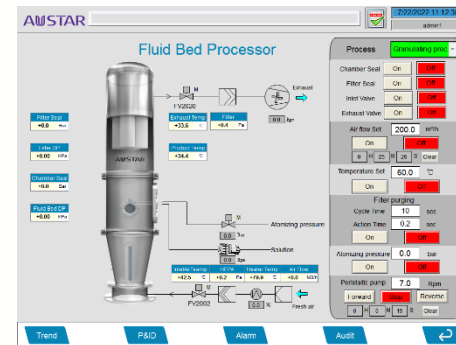
# Experience on Oral Solid Dosage Forms

Key Project Review – fully realized systems - Controls

AUSTAR controls system utilizing Siemens PLC, HMI technology with GUI with multi language capability

Recipe driven with manual control and change

Datalogging and CFR 21 Par 11 capability down and upstream utilities.



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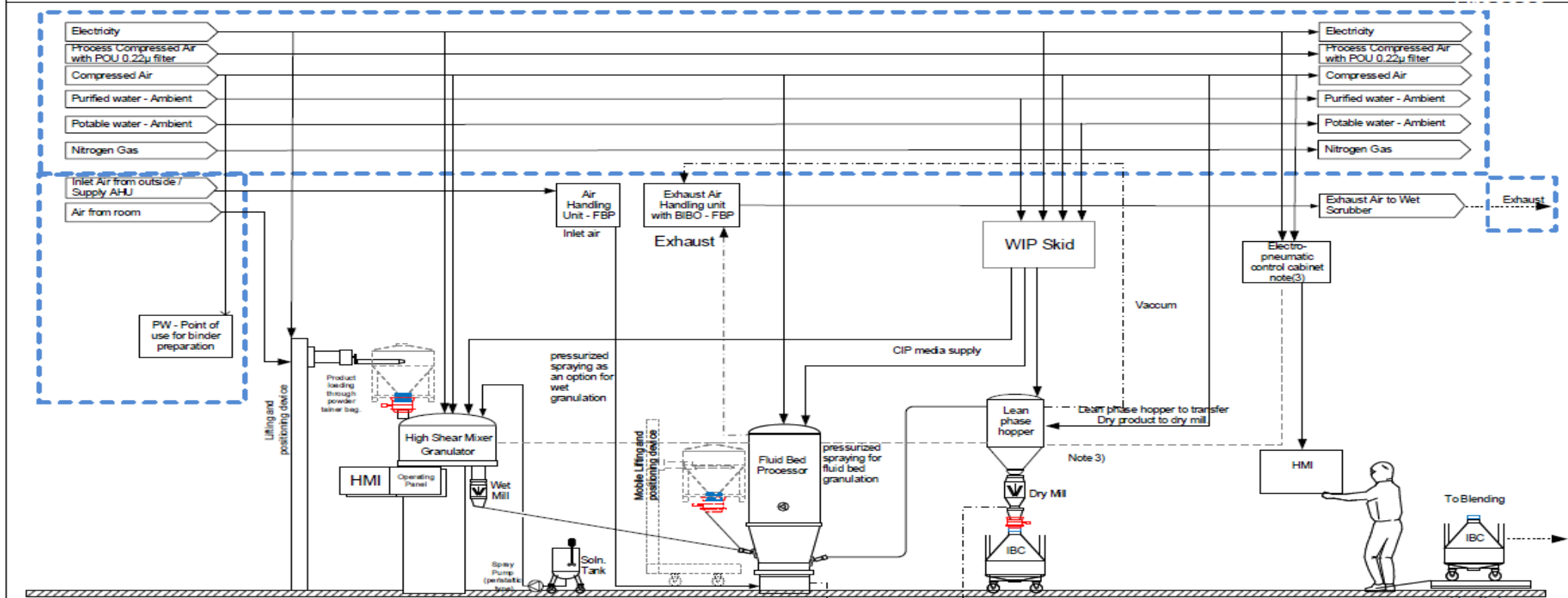
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About PSS



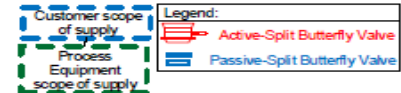
## High Potent Formulation Facility

### Process Flow Diagram for Wet Granulation

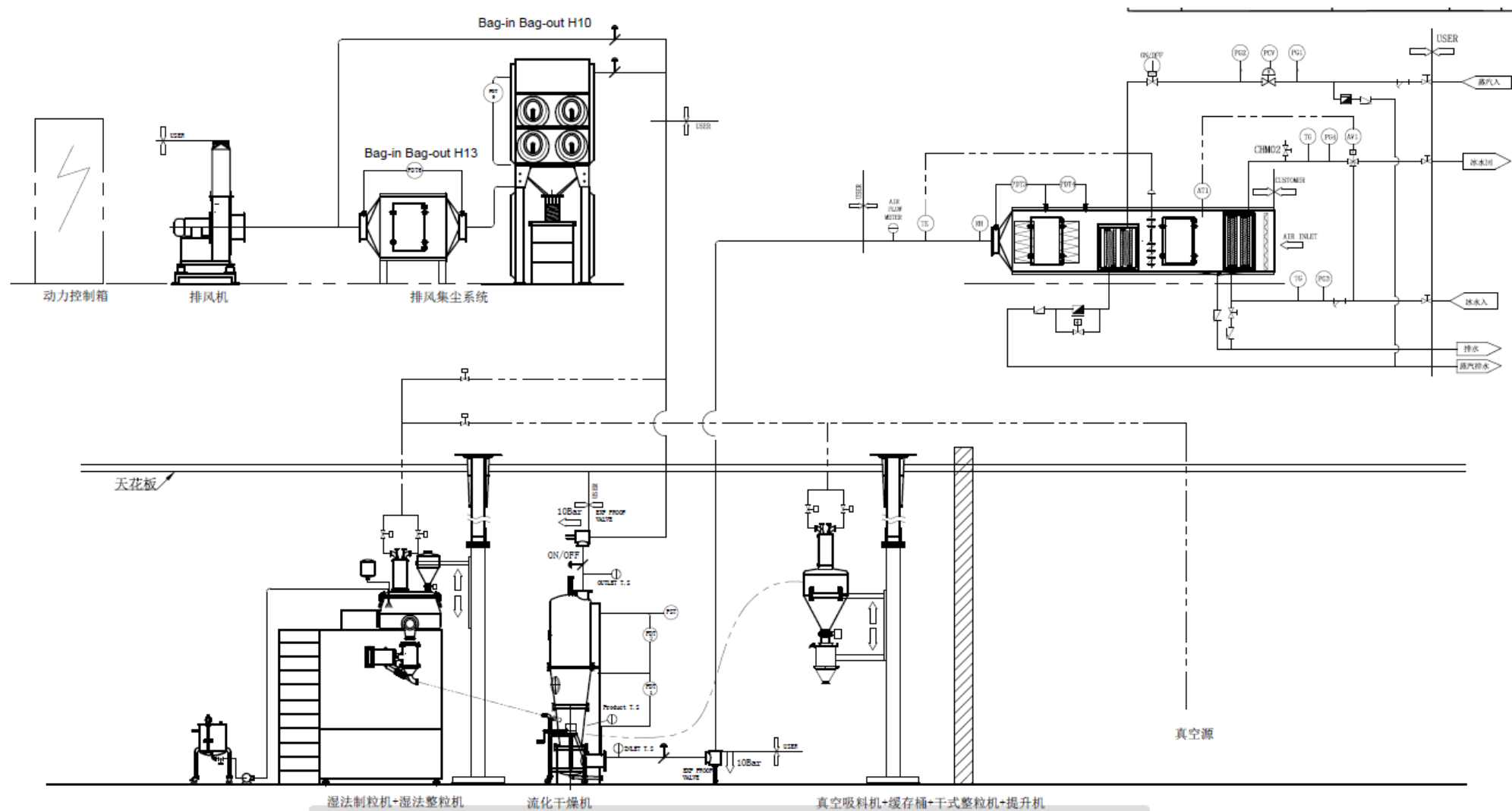


#### Notes

- 1) FBP: Equipment is with its air handling unit (inlet and exhaust). Electrical Heater could be used for FBP AHU.
- 2) Use of Potable water-Ambient and Purified water-Ambient For wetting / washing purpose of binder solution preparation vessel by point of use PW.
- 3) Supporting frame for lean phase hopper and dry mill needs to be discussed with supplier.
- 4) This equipment is designed for OEB-3/4.
- 5) To be discussed on the scope of POU filter.
- 6) RMG, FBP with air handling unit, Wet and dry mill lean phase hopper are in the scope of wet granulation line supplier. Integration of split butterfly valves will be in granulation suite supplier scope of work.
- 7) IBC and IBC Lifter split butterfly valves will be in material handling equipment supplier scope of work.
- 8) Use of Potable water Ambient and purified water ambient for wetting/ washing purpose of binder solution preparation vessel.
- 9) This is tentative process flow diagram w.r.t equipment configuration and same will be updated after discussion with supplier.



# OSD CT Solution



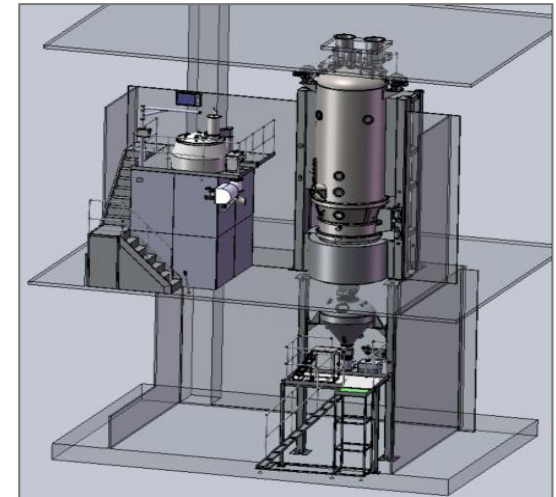
# Features & Leading Advantage of PHS

AMSTAR



# Features & Leading Advantage of PHS

AMSTAR

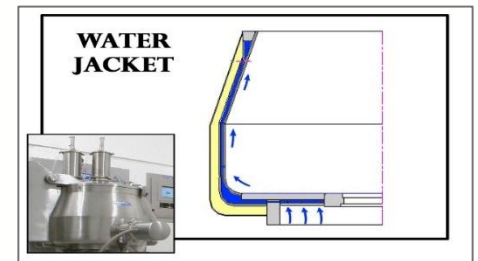
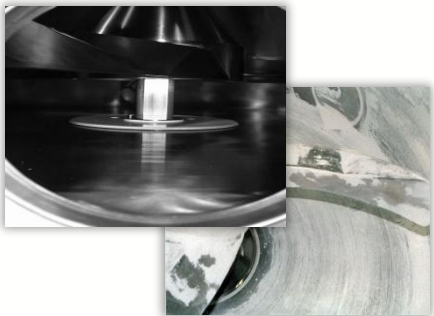


# Features & Leading Advantage of HSG

Technical Data Sheet(Standard)		HSG-12 (Container Interchangeable)						HSG-15	HSG-25	HSG-50	HSG-75	HSG-100	HSG-150	HSG-200	HSG-300	HSG-400	HSG-500	HSG-600	HSG-800	HSG-1000	HSG-1250	HSG-1500	
Capacity	Full Capacity, L	0.5	1	2	4	8	12	15	25	50	75	100	150	200	300	400	500	600	800	1000	1250	1500	
	Working Capacity (30%), L	0.15	0.3	0.6	1.2	2.4	3.6	4.5	7.5	15	22.5	30	45	60	90	120	150	180	240	300	375	450	
	Working Capacity (85%), L	0.425	0.85	1.7	3.4	6.8	10.2	12.75	21.25	42.5	63.75	85	127.5	170	255	340	425	510	680	850	1062.5	1275	
	Max Batch Size kg (0.5g/cm <sup>3</sup> )	0.2125	0.425	0.85	1.7	3.4	5.1	6.375	10.625	21.25	31.875	42.5	63.75	85	127.5	170	212.5	255	340	425	531.25	637.5	
Drive	Impeller	Drive kw range required	1.0 - 1.1	1.0 - 1.1	1.1-1.3	1.3-1.5	1.5-1.7	1.1 - 1.5	1.1 - 1.5	1.1 - 1.5	1.5 - 2.2	5.3 - 5.6	3.7 - 4.8	7.3 - 7.5	13 - 15	17-20	17 - 20	20 -30*	32 - 38	35 - 55	50 - 72	58 - 80	58 - 80
		Drive, kW	2.2						3	4	4	5.5	7.5	11	15	22	22	37	45	55	75	90	110
	Speed, rpm	10-1340	10-1130	10 - 890	10 - 720	10 - 560	10-460	10-440	10 - 375	10 - 295	10 - 250	10 - 235	10 - 210	10 - 190	10 - 165	10 - 150	10 - 140	10 - 130	10 - 120	10 - 115	10 - 105	10 - 100	
	Chopper	Drive, kW	1.1						1.1	3	4	4	4	5.5	7.5	11	11	15	15.0	18.5	22	30	33
Speed, rpm		1000 - 5000	1000 - 5000	1000 - 5000	1000 - 5000	1000 - 5000	1000 - 5000	1000-4000	1000 - 4000	1000 - 4000	1000 - 4000	1000 - 4000	1000 - 4000	1000 - 3500	1000 - 3500	1000 - 3500	1000 - 3500	1000 - 3500	1000 - 3500	1000 - 3500	1000 - 3500	1000 - 3500	
Discharge Port nominal Size (mm)		na	na	35	35	60	60	75	100	100	100	100	100	150	150	200	200	200	200	250	250	250	

# Features & Leading Advantage of HSG

AWSTAR



# Features & Leading Advantage of HSG

## End Point Determination – Scale Up

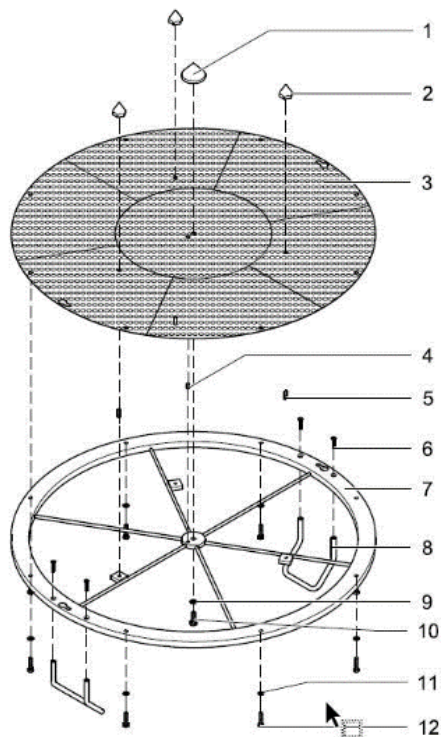
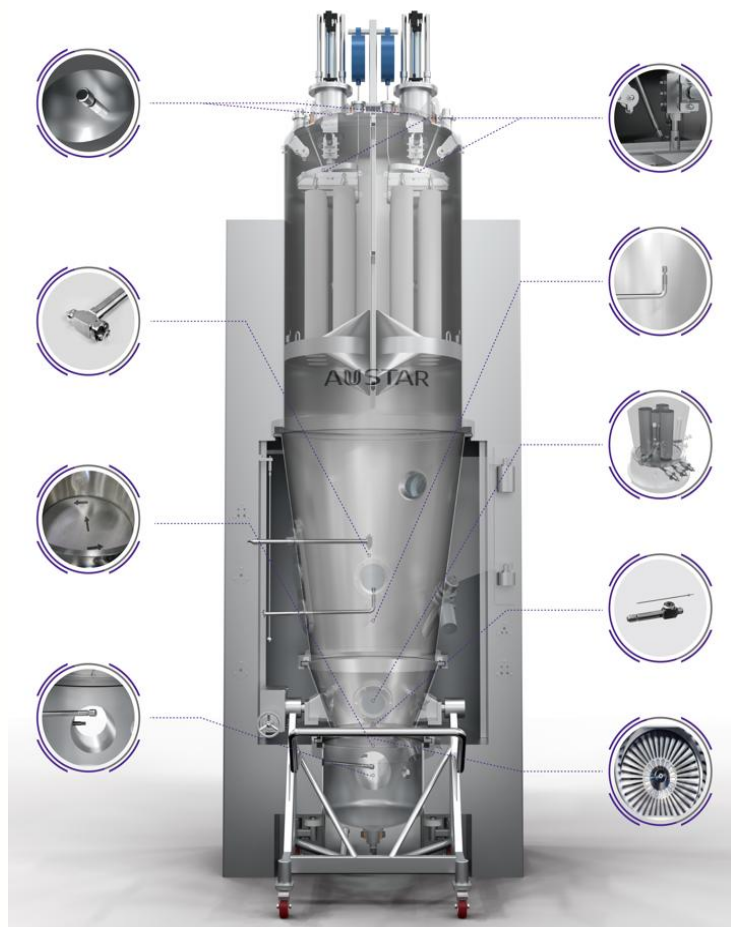
- **TORQUE** - Impeller torque is an excellent measurement of the force on the impeller. Torque rheometer is the torque required to rotate the impeller used to access rheological properties of the granules and the end point of the granulation process. Sensor must be permanently affixed to the impeller shaft and thus the shaft needs to be removed for calibration thus rendering the mixer out of service for weeks.
- **LOAD** – When using AC motors, impeller load does not vary linearly with the current applied therefore current (AMPS) is completely in-effective as a measurement of impeller load in AC motor. **DO NOT USE AMPS FOR ENDPOINT.** Not repeatable due to voltage variations.  $V=IR$
- **POWER** - Measurements of Power Consumption of the impeller motor has been widely used for end point determination because it is economical and well co-related with the growth of granules . Power consumption can also be co-related with mean particle size of the granules.
- Drawback of the Load and Power consumption measurement is that it reflects the energy consumed by the motor, gearbox, belts, pulleys etc rather than the energy on the impeller tool where actual action is being performed. But the gearbox etc does not change during the batch, and thus can be negated.
- End Point  $\frac{PW - PD}{PL - PD}$  is the same NOT AMPS  
PD = Power for Dry Mixing  
PL = Power at End of Liquid Addition

# Features & Leading Advantage of Fluid Bed

Drying / Top Spray Granulaing / Coating ... changeable chamber and conical chamber																
	portable		portable			fied or portable										
Model Number	FBDGC-5		FBDGC-20			FBDGC-30		FBDGC-70			FBDGC-170	FBDGC-330	FBDGC-530	FBDGC-850	FBDGC-1400	FBDGC-1900
Design Gross volume Granulation - Dry/TSG Bowl Size (Liters)	2	5	5	10	17	20	30	30	50	70	170	330	525	850	1400	1900
Min Batch - Dry/TSG Bowl Size (Liters) 20%	0.4	1	1	2	3.4	4	6	6	10	14	34	66	105	170	280	380
Max Batch - Dry/TSG Bowl Size (Liters) 80%	1.6	4	4	8	13.6	16	24	24	40	56	136	264	420	680	1120	1520
2 - Min batch - Wurster Coating (liters) NO COLUMN	0.2	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na
2 -Max Batch - Wurster Coating (liters) NO COLUMN	0.5	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na
4 - Min batch - Wurster Coating (liters)	na	0.5	0.5	na	na	na	na	na	na	na	na	na	na	na	na	na
4 -Max Batch - Wurster Coating (liters)	na	1.5	2	na	na	na	na	na	na	na	na	na	na	na	na	na
6 - Min batch - Wurster Coating (liters)	na	na	na	0.6	na	na	na	na	na	na	na	na	na	na	na	na
6 -Max Batch - Wurster Coating (liters)	na	na	na	5	na	na	na	na	na	na	na	na	na	na	na	na
7 - Min batch - Wurster Coating (liters)	na	na	na	na	1.2	1.2	na	na	na	na	na	na	na	na	na	na
7 -Max Batch - Wurster Coating (liters)	na	na	na	na	8.5	8.5	na	na	na	na	na	na	na	na	na	na
9 - Min batch - Wurster Coating (liters)	na	na	na	na	na	na	3	3	na	na	na	na	na	na	na	na
9 -Max Batch - Wurster Coating (liters)	na	na	na	na	na	na	14	14	na	na	na	na	na	na	na	na
12 - Min batch - Wurster Coating (liters)	na	na	na	na	na	na	9	na	9	na	9	na	na	na	na	na
12 - Max Batch - Wurster Coating (liters)	na	na	na	na	na	na	40	na	40	na	40	na	na	na	na	na
18 - Min working batch - Wurster Coating (liters)	na	na	na	na	na	na	na	na	na	15	15	20	20	na	na	na
18 -Max working Batch - Wurster Coating (liters)	na	na	na	na	na	na	na	na	na	75	95	120	120	na	na	na
24 -Min working batch - Wurster Coating (liters)	na	na	na	na	na	na	na	na	na	na	na	35	35	na	na	na
24 -Max working Batch - Wurster Coating (liters)	na	na	na	na	na	na	na	na	na	na	na	162	162	na	na	na
32 -Min working batch - Wurster Coating (liters)	na	na	na	na	na	na	na	na	na	na	na	na	90	90	na	na
32 -Max working Batch - Wurster Coating (liters)	na	na	na	na	na	na	na	na	na	na	na	na	390	390	na	na
46 - Min batch - Wurster Coating (liters)	na	na	na	na	na	na	na	na	na	na	na	na	na	300	300	125
46 - set up -Max Batch - Wurster Coating (liters)	na	na	na	na	na	na	na	na	na	na	na	na	na	790	790	800
55 - Min batch - working Wurster Coating (liters)	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	170
55 - Max Batch - working Wurster Coating (liters)	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	1110

# Features & Leading Advantage of Fluid Bed

AUSTAR



AUSTAR 奥星

制药工程解决方案专家

# Know How Design of PSR ATEX Compliance

International Standards

ANSTAR

NFPA 70, National Electrical Code (NEC)

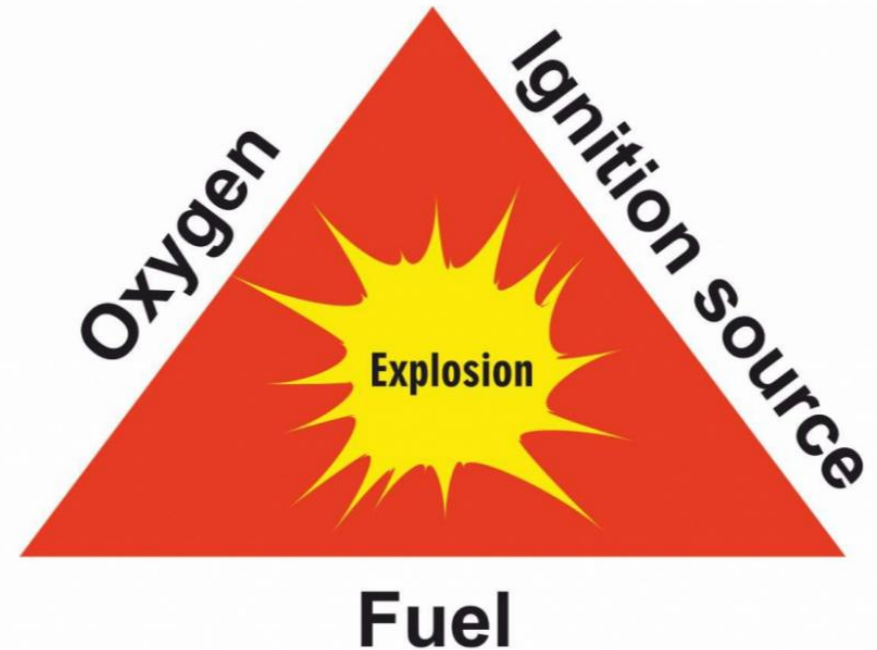
NFPA 79: Electrical Standard for Industrial Machinery

**ATEX** is the abbreviated name of the European Directive 2014/34/EC

NFPA 68: Standard on Explosion Protection by Deflagration Venting

NFPA 69, Standard on Explosion Prevention Systems

NFPA 652, Standard on the Fundamentals of Combustible Dust



# Know How Design of PSR ATEX Compliance

1.14 General drawing of FEA of fluid bed

流化床应力分析总图



## Part II FEA of High Shear Mixer HSG

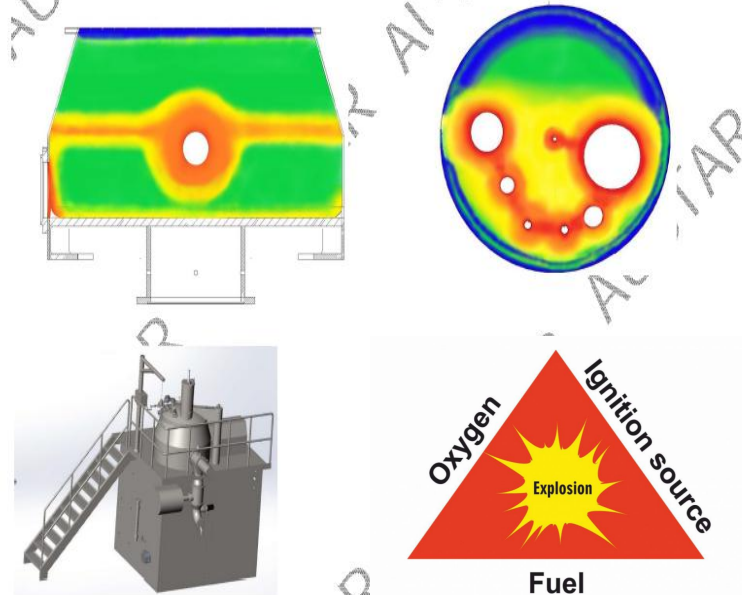
### 第二部分 高剪切湿法制粒机应力分析

2.1 Viewing windows, sampling port, outlet pipe, and inflatable seals. Inlet flanges etc are engineered to withstand same over pressure

视窗、取样口、卸料口、充气密封、入口法兰等的设计应能够承受相同的过压。

2.2 Once the stress/strain on each node is calculated they are superimposed on the nodal display to show concentrations. In the picture here red is approximately the force equal to 13 BAR thus allowing a safety factor

一旦对每个节点的应力/应变进行了计算，就会与节点显示叠加，显示密集度。在此图中，红色对应大约 18bar 的力，在安全系数允许范围内。



## CERTIFICATE OF AUTHORIZATION

The named company is authorized by the American Society of Mechanical Engineers (ASME) for the scope of activity shown below in accordance with the applicable rules of the ASME Boiler and Pressure Vessel Code. The use of the ASME Certification Mark and the authority granted by this Certificate of Authorization are subject to the provisions of the agreement set forth in the application. Any construction stamped with the ASME Certification Mark shall have been built strictly in accordance with the provisions of the ASME Boiler and Pressure Vessel Code.

COMPANY:

**Austar Pharmaceutical Process Systems (Shijiazhuang) Limited**  
No.4 Bldg., Industrial Park for GREE,  
No. 389, Hengshan Street  
Shijiazhuang City, Hebei Province 050035  
People's Republic of China

SCOPE:

Manufacture of pressure vessels at the above location and field sites controlled by the above location (This authorization does not cover impregnated graphite)

AUTHORIZED: January 3, 2020

EXPIRES: January 3, 2023

CERTIFICATE NUMBER: 54316

The American Society of Mechanical Engineers



*David E. Tuttle*  
Board Chair, Conformity Assessment

*Joseph Lancaster*  
Managing Director, Conformity Assessment



# Know How Design of PSR ATEX Compliance

In the event of Deflagration

OSD processing where a solvent will be sprayed into a fluid bed for the purpose of Top Spray Granulation or Wurster Coating is considered a hybrid environment.

Based on NFPA and ATEX codes

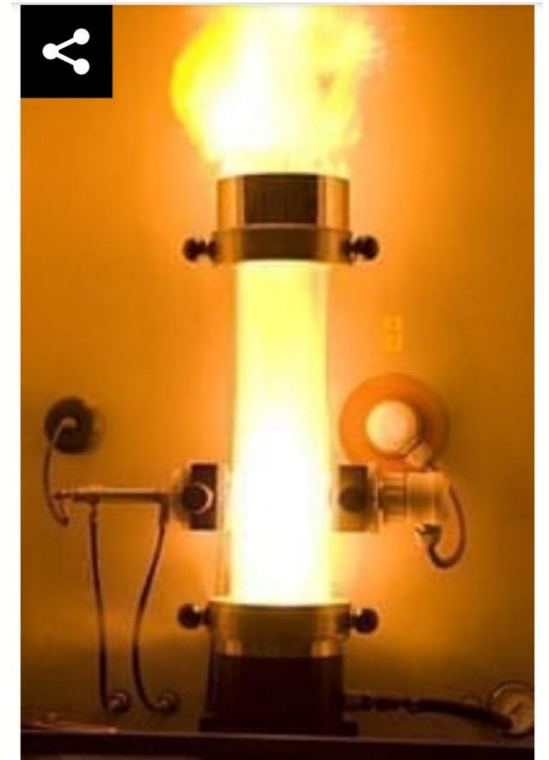
Types of Pressure Shock Wave (Deflagration) protection;

- Deflagration Control by Isolation & Venting - The technique for deflagration isolation shall be permitted to be considered for interruption or mitigation of flame, deflagration pressures, pressure piling, and flamejet ignition between equipment that is interconnected by pipes or ducts. Restricted to pressure ~ 2 bar.

- Deflagration Control by Suppression - The technique for deflagration suppression shall be permitted to be considered for most flammable gases, combustible mists, or combustible dusts that are subject to deflagration in a gas phase oxidant. Controlled max pressure through suppression. Cost of suppression hardware.

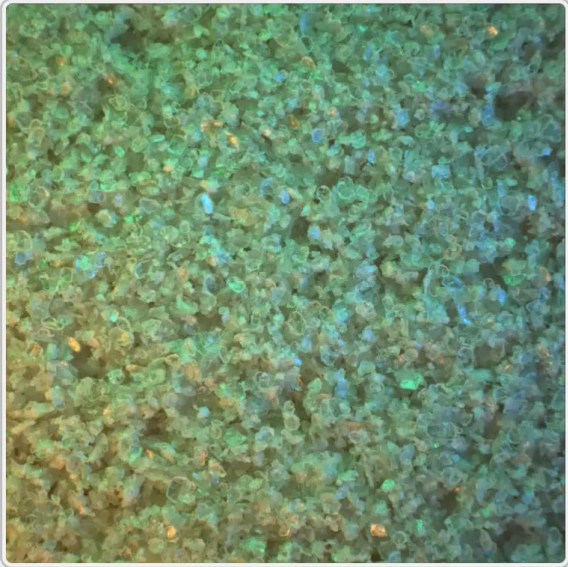
- Deflagration Control by Pressure Containment - The technique for deflagration pressure containment shall be permitted to be considered for specifying the design pressure of a vessel and its appurtenances so they are capable of withstanding the maximum pressures resulting from an internal deflagration. Heavy and bulky equipment to handle pressure.

Absolute pressure /  
Shock wave and DP/DT



# PAT Integrated Application

eyepass
Administrator | Live Measurement
HW OK
Stop

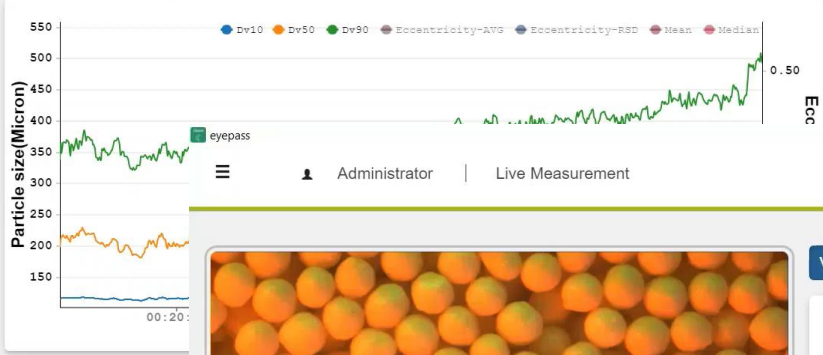


**Material:** dns1  
**Sublot:**  
**Starting operator:** admin  
**Elapsed time:** 0d 02:02:06


**Batch Number:** 2021121-2  
**Configuration:** wudi  
**Time started:** 2021-12-02 00:46:39  
**Integration Period:** 60s

**Size Distribution**  
 Mean: 185 (µm)  
 Median: 153 (µm)  
Volumetric  
 Dv10: 175 (µm)  
 Dv50: 321 (µm)  
 Dv90: 493 (µm)  
Eccentricity  
 AVG: 0.3991 (Arb)  
 RSD: 0.4402 (Arb)

Volumetric Numeric 1h 4h 24h Session comments



Administrator | Live Measurement
HW OK
Stop




**Material:** pellets  
**Sublot:**  
**Starting operator:** admin  
**Elapsed time:** 0d 02:24:20

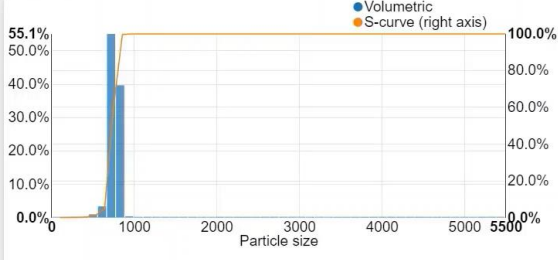
**Batch Number:** 2021112901  
**Configuration:** JOSON  
**Time started:** 2021-11-30 04:47:11  
**Integration Period:** 60s

**Size Distribution**  
 Mean: 786 (µm)  
 Median: 831 (µm)  
Volumetric  
 Dv10: 783 (µm)  
 Dv50: 844 (µm)  
 Dv90: 901 (µm)  
Eccentricity  
 AVG: 0.5810 (Arb)  
 RSD: 0.3033 (Arb)

Volumetric Numeric 1h 4h 24h Session comments



**Size Distribution**

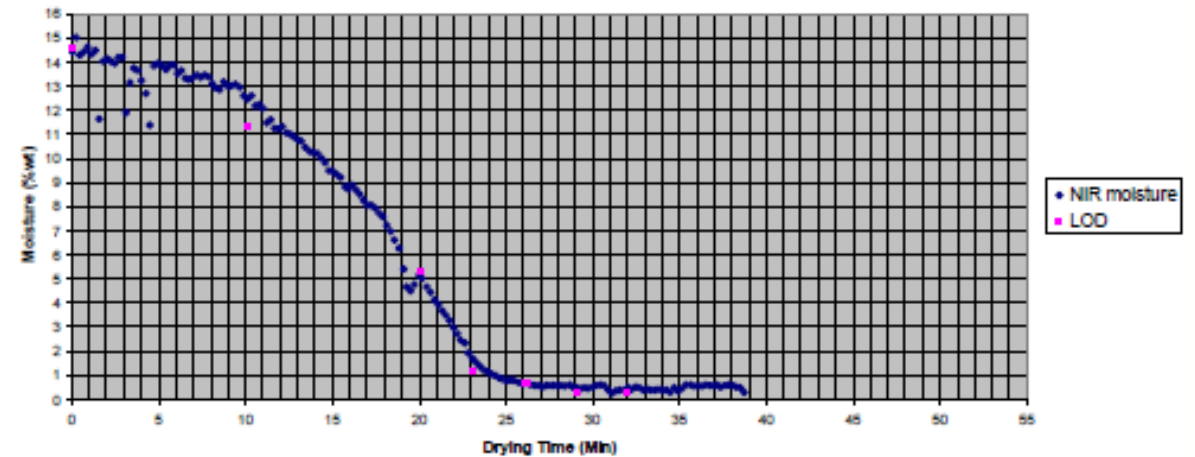
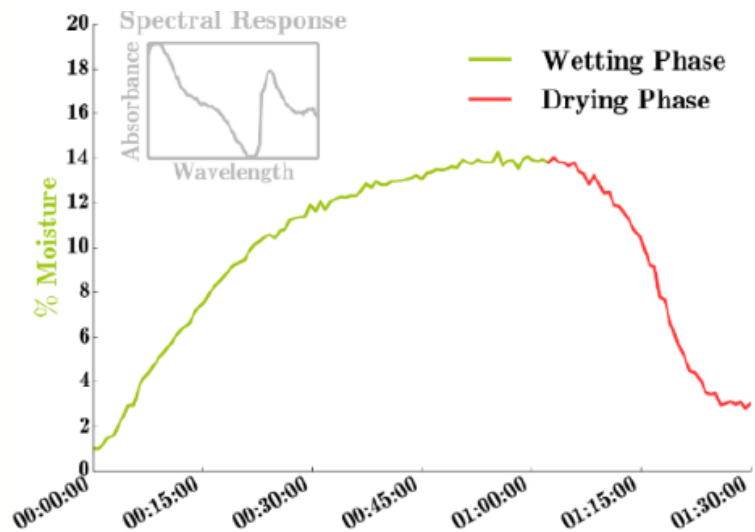


Volumetric S-curve (right axis)

# PAT Integrated Application

Blending homogeneity, Water content online monitoring

The application of integrated PAT in wet granulation will facilitate the understanding to the wet granulation process/ product, and shorten the R&D period of new drug and/ or generic drug



Documentation	Specification
GXP	The applicability of equipment/system assessment to potential effect on product quality, GAMP software category, and FDA 21 CFR Part 11.
QPP	Quality and Project Plan
QSD	Providing specific instruction to the scope of commissioning and qualification activities.
FS	Functional Specification
HDS	Hardware Design Specification
SDS	Software Design Specification
SIA	System Impact Assessment
DQ	Design Qualification
FRA/CCA	Functional risk assessment /Component Criticality Assessment
COM	Equipment or system commissioning documents
FAT	Factory Acceptance Test
SAT	Site Acceptance Test
IQ	Installation Qualification
OQ	Operating Qualification
PQ	Performance Qualification (Protocol)
RTM	Requirement Traceability matrix
VSR	Validation Summary Report

	Validation	Doc.No: IQE-TBW-FIQ-01	
	Installation Qualification Report for Fluid-bed Dryer	Version	01
		Page	1 of 7

Project No.: P201411205

Installation Qualification Report for Fluid-bed Dryer  
流化床干燥器安装确认报告

谁准备/Prepared by:  
职位 Position: 验证工程师 Validation Engineer  
姓名 Name: \_\_\_\_\_ 签字 Sign: \_\_\_\_\_ 日期 Date: \_\_\_\_\_

谁接收/Received by:  
职位 Position: 项目经理 Project Manager  
姓名 Name: \_\_\_\_\_ 签字 Sign: \_\_\_\_\_ 日期 Date: \_\_\_\_\_

职位 Position: 高级EHS专员 Senior EHS Supervisor  
姓名 Name: \_\_\_\_\_ 签字 Sign: \_\_\_\_\_ 日期 Date: \_\_\_\_\_

职位 Position: 车间验证应用经理 (TAEI)  
姓名 Name: \_\_\_\_\_ 签字 Sign: \_\_\_\_\_ 日期 Date: \_\_\_\_\_

职位 Position: 验证主管 Qualification Supervisor

	Validation	Doc.No: IQE-TBW-FIQ-01	
	Installation Qualification Report for Fluid-bed Dryer	Version	01
		Page	4 of 7

### 3. Test Item List 测试项目列表

The tests that have been performed in this report are listed in the following form.  
在下表的表格列出了本报告执行的测试。

Test Item / 测试项目	Verification / 验证	Result / 结果	Verification Result / 验证结果
11.1 Prerequisite Verification 先决条件确认	<input checked="" type="checkbox"/> Pass合格 <input type="checkbox"/> Fail不合格	<input type="checkbox"/> Yes是 <input checked="" type="checkbox"/> No否	
11.2 Personnel Verification 人员确认	<input checked="" type="checkbox"/> Pass合格 <input type="checkbox"/> Fail不合格	<input type="checkbox"/> Yes是 <input checked="" type="checkbox"/> No否	
11.3 Documentation Verification 文件确认	<input checked="" type="checkbox"/> Pass合格 <input type="checkbox"/> Fail不合格	<input type="checkbox"/> Yes是 <input checked="" type="checkbox"/> No否	
11.4 Training Verification 培训确认	<input checked="" type="checkbox"/> Pass合格 <input type="checkbox"/> Fail不合格	<input type="checkbox"/> Yes是 <input checked="" type="checkbox"/> No否	
11.5 Installation Qualification 安装确认	<input checked="" type="checkbox"/> Pass合格 <input type="checkbox"/> Fail不合格	<input type="checkbox"/> Yes是 <input checked="" type="checkbox"/> No否	
11.6 PQD Verification PQD 确认	<input checked="" type="checkbox"/> Pass合格 <input type="checkbox"/> Fail不合格	<input type="checkbox"/> Yes是 <input checked="" type="checkbox"/> No否	
11.7 Component Verification 组件确认	<input checked="" type="checkbox"/> Pass合格 <input type="checkbox"/> Fail不合格	<input type="checkbox"/> Yes是 <input checked="" type="checkbox"/> No否	

## IQ/OQ (EU GMP/Germany)

	Performance Qualification Protocol for Fluid Bed Granulator System in Workshop Eight 八车间流化床颗粒系统性能确认方案	编号: PQP-M-0716-2014-19 版本号: 02 修订号: 00
--	--	---

### Protocol Approval/方案批准:

姓名 Name	部门、职务 Department, Title	签名 Sign
编制人 Author	奥星验证部门 AUSTAR Validation Department	
谁审核人 Reviewed by Scieure	验证部门负责人 Validation Department Manager	
	车间主任 Plant Director	
	工程中心负责人 Project Center Director	
	生产中心负责人 Production Center Director	
质量保证部主管 QA Supervisor		

	Performance Qualification Protocol for Fluid Bed Granulator System in Workshop Eight 八车间流化床颗粒系统性能确认方案	编号: PQP-M-0716-2014-19 版本号: 02 修订号: 00	页数: 9 / 107 机密等级: 秘密
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## PQ (MHRA GMP)

# Topics

01

What can we provide to the OSD Process

Systems

02

Experience Sharing

03

Advanced Tech/Competitive Advantage of

AFC

04

About PSS

# More Than 1000 Global High-quality Partners

AMSTAR



# TAC Advisors

Knowledge Trust Legacy

AMSTAR



Peter Haseley



Ewart Richardson



Austin McDonald



Stephen Robert Ferrell



Rory Budihandojo



Wilfried Kappel



Bill Shifeng Wei



José Castillo



Michael Li



Jack Chu



Jon Nottingham



Frank Eng



Andreas Flückiger



Danny Chou



Nico Oosterhuis



Feng Lei



Rey T Chern



Robert Fretz



Li Shi



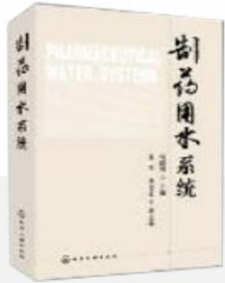
Michael H Prior



Shoubai Chao

# Publications

## ■ Pharmaceutical Process & Utility System



Pharmaceutical Water Systems (2011)



Pharmaceutical Liquid Process Systems (2013)



Pharmaceutical Water Systems (The Second Edition) (2016)



Implementation Manual of De-rouging for Pharmaceutical Processing System (2015)



Code for Design of Pharmaceutical Water Systems, a National Standard (NO.GB50913-2013)

## ■ Drug Life Cycle Compliance



Pharmaceutical Process Validation Manual (2012)



Compilation of EU GMP & GDP Regulations (Chinese-English Bilingual Version) (2014)



Quality Risk Management in Pharmaceutical Industry: A Practical Guide (2020)



How to Design and Implement Powder-to-Tablet Continuous Manufacturing Systems (2023)



Technical Code for Traditional Chinese Medicine Production Plant Engineering (GB51069—2014)



Pharmaceutical Industry Manufacturing Execution System Manual (2016)



Microbial Control in Pharmaceutical Cleanrooms (2013)



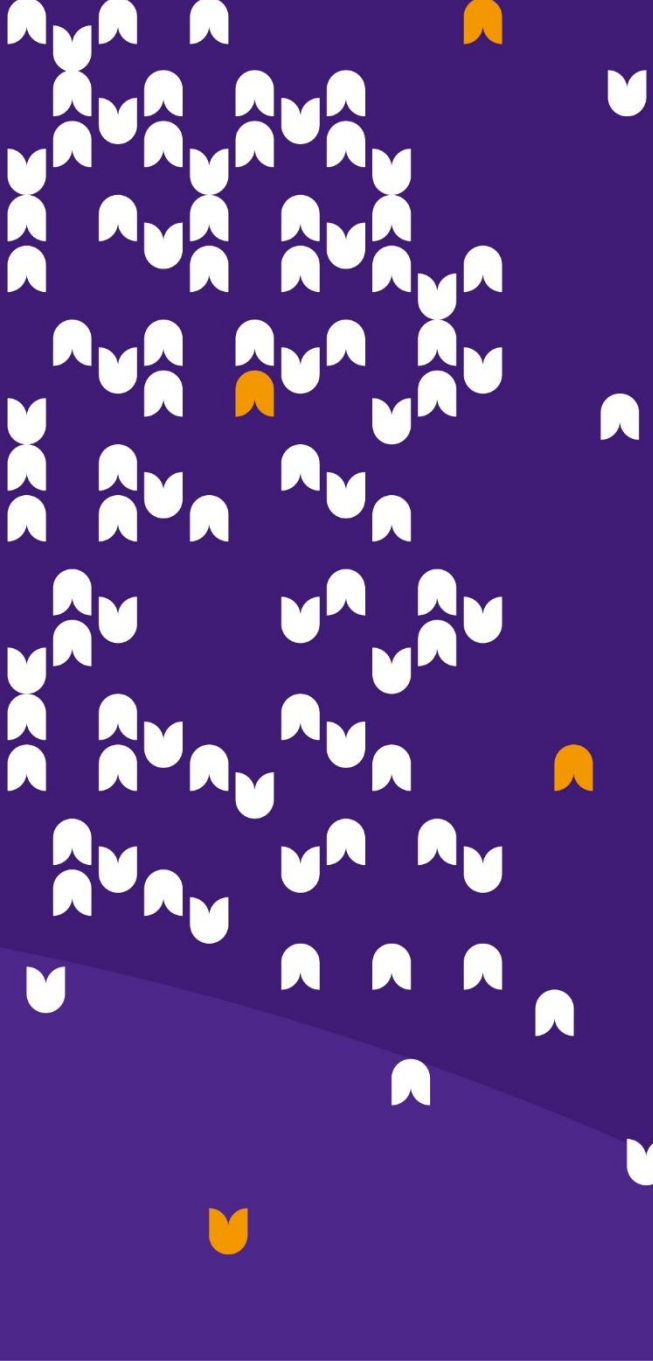
Freeze-Drying (2017)



Guide for Design, Construction and Operation Management of BSL2 (2021)

## ■ Production Environment and Process

- **Your partner of OSD TK solution**
- **Safety first.** All core components are validated and tested.
- Balance of process, equipment and automatic monitoring system, and provide a stable process **automatic control system.**
- Based on excellent **know-how** design and quality process control, provide perfect engineering equipment project execution
- Process service and experience shareing, value adding on the OSD process system
- The AUSCON **automatic control system** designed based on the requirements of data integrity meets the requirements of EU, FDA, who, pic/s, TGA and other high-end international regulations
- Perfect verification execution service (IQ/OQ/FAT/SAT, execution and report delivery), providing one-stop service



# Thank You

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